373 Receipt



PATENTS

IN THE U.S. PATENT AND TRADEMARK OFFICE

In re Application of:

Guillermo J. Tearney et al.

Serial No.: 09/709,162

Filed: November 10, 2000

111001 1101011101110, 2000

Art Group: 3731

Examiner: TBA

Atty Docket No. 0375.3-1

Customer ID: 25207

For: SPECTRALLY ENCODED MINIATURE ENDOSCOPIC IMAGING PROBE

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

REQUEST FOR CORRECTED UPDATED FILING RECEIPT

Sir:

Enclosed is a copy of the Patent Application Updated Filing Receipt recently received in our Office. In the title, the word "minature" is misspelled. The correct spelling should be --miniature--. Also, the third inventor's name "Stefanov Shiskov" has been misspelled and the first name has been left off. The full name and correct spelling should be --Milen Stefanov Shishkov--.

Respectfully submitted,

BERNSTEIN & ASSOCIATES

Jason A. Bernstein Reg. No. 31,236

6600 Peachtree Dunwoody Rd., N.E. Embassy Row 400, Suite 495 Atlanta, Georgia 30328-1649 (770) 671-1755

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C.

20231, on: May 10, 2001

Jason A. Bernstein



RECEIVE age 1 of 4

APR 3 0 2011

BERNSTEIN & ASSOCIATES

United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
WWW.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/709,162	11/10/2000	3731	1283	0375.3-1-1	7	67 🐍	14

CONFIRMATION NO. 3219

UPDATED FILING RECEIPT

OC00000006013172

Jason A Bernstein Bernstein & Associates P C 6600 Peachtree Dunwoody Road N E Embassy Row 400 Suite 495 Atlanta, GA 30328-1649

Date Mailed: 04/26/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Guillermo J. Tearney, Cambridge, MA;
Brett Eugene Bouma, Quincy, MA;
Milen Stefanov Shiskov, Boston, MA;
Jonathan Jay Rosen, Newton, MA;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 01/29/2001

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title.

Spectrally encoded minature endoscopic imaging probe miniature

BEST AVAILABLE COPY

Preliminary Class'

606

Data entry by : GUNTER RILEY, JOYCE

Team : OIPE

Date: 04/26/2001

LICENSE FOR FOREIGN FILING UNDER Title 35, Unit d States Code, Section 184 Titl 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, DC 20231